

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

Case 89  
(1170/39207)

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)

09/763318

INTERNATIONAL APPLICATION NO.  
PCT/NZ99/00139 ✓

INTERNATIONAL FILING DATE  
16 AUGUST 1999 ✓

PRIORITY DATE CLAIMED  
19 AUGUST 1998 ✓

TITLE OF INVENTION **DOOR OPENING AND CLOSING SYSTEM** ✓

APPLICANT(S) FOR DO/EO/US **Keith Brookes SPONG; Graeme Colin FULLER and Gerald David DUNCAN** ✓

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the specification and claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith in the International Application (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). (**UNEXECUTED**)
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
  - a. ☒ International Preliminary Exam. Report
  - b. ☐ Verified Statement (Declaration) Claiming Small Entity Status
  - c. ☒ International Search Report
  - d. ☐ Preliminary Amendment

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Date of Deposit: **February 16, 2001**

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office Box Addressee" service under 35 CFR 1.10 on the date indicated above and is addressed to the Ass. Commissioner for Patents, Washington, D.C. 20231.

**JENNIFER L. SOUKUP**

(TYPED OR PRINTED NAME OF PERSON MAILING PAPER OR FEE)

*Jennifer L Soukup*  
(SIGNATURE OF PERSON MAILING PAPER OR FEE)

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5) <div style="font-size: 24pt; font-weight: bold; margin-top: 10px;">09/763318</div>		INTERNATIONAL APPLICATION NO. PCT/NZ99/00139		ATTORNEY'S DOCKET NUMBER Case 89 (1170/39207)	
17. <input checked="" type="checkbox"/> The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)): <div style="margin-top: 5px;">           Search Report has been prepared by the EPO or JPO <span style="float: right;">\$860.00</span>            International preliminary examination fee paid to USPTO (37 CFR 1.482) <span style="float: right;">\$690.00</span>            No international preliminary examination fee paid to USPTO (37 CFR 1.482)            but international search fee paid to USPTO (37 CFR 1.445(a)(2)) <span style="float: right;">\$710.00</span>            Neither international preliminary examination fee (37 CFR 1.482) nor international            search fee (37 CFR 1.445(a)(2)) paid to USPTO <span style="float: right;">\$1,000.00</span>            International preliminary examination fee paid to USPTO (37 CFR 1.482)            and all claims satisfied provisions of PCT Article 33(2)-(4) <span style="float: right;">\$100.00</span> </div>				<b>CALCULATIONS PTO USE ONLY</b>	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$ 1000.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)).				<input type="checkbox"/> 20 <input type="checkbox"/> 30 \$ -0-	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	16 - 20 =	0	X \$18.00	\$ .00	
Independent claims	3 - 3 =	0	X \$80.00	\$ .00	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+	\$270.00	\$ 270.00
TOTAL OF ABOVE CALCULATIONS =				\$ 1270.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$ -0-	
SUBTOTAL =				\$ .00	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)).				<input type="checkbox"/> 20 <input type="checkbox"/> 30 \$ -0-	
TOTAL NATIONAL FEE =				\$ 1270.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				+	\$ -0-
TOTAL FEES ENCLOSED =				\$ 1270.00	
				Amount to be refunded:	\$
				Amount to be charged:	\$
a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>1270.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. 20-1495 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 20-1495. A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>					

SEND ALL CORRESPONDENCE TO:

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 Name

37.903  
 Registration Number